Generating t-way Test Suite in the Presence of Constraints

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Abstract—Interaction (t-way) testing is a common sampling strategy to minimize combinatorial test data from large configuration space based on the defined interaction strength (t). Here, all t-way strategies generate the t-way test suite with the aim to cover every possible combination produced by the interacting parameters (or also known as tuples). In many systems under test (SUT), there are some known combinations that are impossible to occur based on the requirements set to the system. These combinations (termed constraints) have to be excluded from the final test suite. This paper describes the generation of t-way test suite using the Late Acceptance Hill Climbing based Strategy (LAHC) in the presence of constraints. Our benchmarking results have been promising as LAHC gives competitive results in many constraints configurations considered.

I. INTRODUCTION

Given potentially large possible input parameters, exhaustive testing of any typical software is practically impossible. As such, many sampling based strategies (such as random testing[1], each-choice and base-choice[2], and antirandom[3]) have been proposed in the literature to help test engineers select a subset of test cases (i.e. from the exhaustive testing) that would maximize the probability of fault detection. Although useful, the aforementioned strategies are not designed to tackle faults due to interaction. As such, their applicability is deemed limited to certain types of faults.

Addressing these issues, researchers have turned into t-way strategies [4] whereby t indicates the interaction strength. Here, all t-way strategies generate the t-way test suite with the aim to cover every possible combination produced by the interacting parameters (or also known as tuples). In many systems under test (SUT), there are some known combinations that are impossible to occur based on the requirements set to the system. These combinations (termed constraints) have to be excluded from the final test suite.

While many t-way strategies have been proposed in literature for the past 20 years (e.g. GTWay[5, 6], MIPOG[7-10], TConfig[11, 12] and TCG[13]), few strategies have sufficiently considered constraints during test generation process. In fact, HSS[14], PICT[15], mAETG[16], SA[16] and TestCover[17] are amongst the few known t-way strategies that address constraints issues.

Complementing existing work, this paper describes a novel strategy, called LAHC, based on Late Acceptance Hill Climbing Algorithm that is capable of generating the t-way test suite in the presence of constraints. The main contribution of the work is that it is the first constraints supported t-way strategy that is developed based on the Late Acceptance Hill Climbing Algorithm. Our benchmarking results have been promising as LAHC gives competitive results in many constraints configurations considered.

The rest of the paper is organized as follows. Section II highlights covering array notation. Section III gives the problem definition model. Section IV describes the relevant related work. Section V highlights the general Late Acceptance Hill Climbing. Section VI elaborates on our strategy developed based on the Late Acceptance Hill Climbing Algorithm. Section VII describes our evaluation experiments. Finally, Section VIII gives our conclusion and future work.

II. COVERING ARRAY NOTATIONS

Mathematically, t-way interaction test suite can be abstracted using the covering array (CA) notations. Normally, the CA has four parameters; N, t, p, and v (i.e., CA (N, t, v)). Here, the symbols p, v, and t are used to refer to number of parameters, values, and interaction strength for the CA, respectively. For example, CA (9, 2, 3) represents a test suite consisting of 9x4 arrays (i.e., the rows represent the size of test cases (N), and the column represents the parameter (p)). In this case, the test suite also covers two-way interaction for a system with four 3-value parameters.

Similar to CA, mixed covering array (MCA) has three parameters; N, t, and Configuration (C) (i.e., MCA (N, t, C)). In addition to N and t that carry the same meaning as in CA, MCA adopts a new symbol, C. Consistent with earlier given notations, C represents the parameters and values of each configuration in the following format: \( v_1^{p_1} v_2^{p_2} \ldots v_n^{p_n} \) indicating that there are p1 parameters with v1 values, p2 parameters with v2 values, and so on. For example, MCA (1265, 4, 10241322) indicates the test size of 1265 that covers four-way interaction. Here, the configuration takes 12
parameters: two 10-value parameters, one 4-value parameter, two 3-value parameters, and seven 2-value parameters.

To cater for constraints covering array (CCA) or mixed-constraints covering array (MCCA), a new variable called forbidden (F) interaction is introduced to represent the set of disallowed interactions (i.e., CA (N, t, i, v, F) or MCCA (N, t, C, F)). Here, F takes the following format \[ \{F_{a,b}\} \] where a indicates the \( p_a \) parameter and b indicates the \( v_{ib} \) value are within the list of constraints. For example, consider CCA (10, 2, 3^3, F) where \( F = \{F_{1,3},F_{2,3}\} \). In this case, the CCA indicates the test size of 10 for pairwise interaction of three 3-value parameters with constraints pair interaction elements from parameter 1 and value 1, as well as parameter 3 and value 1.

III. PROBLEM DEFINITION MODEL

To illustrate the problem of t-way testing and constraints, a simplified pizza ordering system will be elaborated based on the example in [14]. The simplified pizza system takes five parameters as follows (see Table I).

### TABLE I
**SIMPLIFIED PIZZA ORDERING SYSTEM**

<table>
<thead>
<tr>
<th>Pizza Type (P1)</th>
<th>Crust (P2)</th>
<th>Toppings (P3)</th>
<th>Size (P4)</th>
<th>Delivery (P5)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vegetarian Cheese (P1)</td>
<td>Thin Crust</td>
<td>Roasted Chicken</td>
<td>Large</td>
<td>Eat In</td>
</tr>
<tr>
<td>Meat Lover (P1)</td>
<td>Extra Thick</td>
<td>Ground Beef</td>
<td>Medium</td>
<td>Take Away</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Here, Pizza Type, Toppings and Size take 3 possible values whilst Crust and Delivery take 2 possible values. Exhaustive testing of all possible interactions for the aforementioned pizza ordering system requires \( 2 \times 2 \times 3 \times 5 \times 2 = 72 \) test cases. Now, pairwise (2-way) interactions can be tested using 9 test cases as shown in Table II.

### TABLE II
**PAIRWISE TEST SUITE FOR CA (N, 2, 2^3 \times 3^3)**

<table>
<thead>
<tr>
<th>No</th>
<th>Pizza Type</th>
<th>Crust</th>
<th>Toppings</th>
<th>Size</th>
<th>Delivery</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Vegetarian Cheese</td>
<td>Thin Crust</td>
<td>Roasted Chicken</td>
<td>Small</td>
<td>Take Away</td>
</tr>
<tr>
<td>2</td>
<td>Meat Lover</td>
<td>Extra Thick</td>
<td>Mushroom</td>
<td>Small</td>
<td>Eat In</td>
</tr>
<tr>
<td>3</td>
<td>Vegetarian Cheese</td>
<td>Extra Thick</td>
<td>Ground Beef</td>
<td>Large</td>
<td>Take Away</td>
</tr>
<tr>
<td>4</td>
<td>Meat Lover</td>
<td>Thin Crust</td>
<td>Ground Beef</td>
<td>Medium</td>
<td>Eat In</td>
</tr>
<tr>
<td>5</td>
<td>Vegetarian Cheese</td>
<td>Thin Crust</td>
<td>Mushroom</td>
<td>Large</td>
<td>Take Away</td>
</tr>
<tr>
<td>6</td>
<td>Meat Lover</td>
<td>Extra Thick</td>
<td>Roasted Chicken</td>
<td>Medium</td>
<td>Take Away</td>
</tr>
<tr>
<td>7</td>
<td>Vegetarian Cheese</td>
<td>Thin Crust</td>
<td>Mushroom</td>
<td>Medium</td>
<td>Take Away</td>
</tr>
<tr>
<td>8</td>
<td>Meat Lover</td>
<td>Extra Thick</td>
<td>Roasted Chicken</td>
<td>Large</td>
<td>Eat In</td>
</tr>
<tr>
<td>9</td>
<td>Meat Lover</td>
<td>Thin Crust</td>
<td>Ground Beef</td>
<td>Small</td>
<td>Eat In</td>
</tr>
</tbody>
</table>

Referring to Table II, it can be deduced that each 2-way interaction between parameters are covered at most once (indicating that the given result is the most optimal one). Nonetheless, there exist a number of constraints. The pair interactions between Pizza Type (Vegetarian) and Toppings (Ground Beef, Roasted Chicken) are impossible, hence, must be forbidden. By the same token, pair interaction between Pizza Type (Meat Lover) and Toppings (Mushroom) is also forbidden. Using the mixed-constraints covering array notation discussed earlier, the system configuration can be formally expressed as CA (N, 2, 2^3 \times 3^3, F), where \( F = \{(F_{1,3},F_{3,3}), (F_{1,1},F_{3,2}), (F_{1,2},F_{2,3})\} \). Considering these constraints, the correct representation of CA is given in Table III.

### TABLE III
**PAIRWISE TEST SUITE FOR CA (N, 2, 2^3 \times 3^3, F), WHERE F = \{(F_{1,3},F_{3,3}), (F_{1,1},F_{3,2}), (F_{1,2},F_{2,3})\}**

<table>
<thead>
<tr>
<th>No</th>
<th>Pizza Type</th>
<th>Crust</th>
<th>Toppings</th>
<th>Size</th>
<th>Delivery</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Vegetarian Cheese</td>
<td>Extra Thick</td>
<td>Mushroom</td>
<td>Medium</td>
<td>Take Away</td>
</tr>
<tr>
<td>2</td>
<td>Meat Lover</td>
<td>Thin Crust</td>
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<td>Extra Thick</td>
<td>Ground Beef</td>
<td>Medium</td>
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</tr>
<tr>
<td>4</td>
<td>Vegetarian Cheese</td>
<td>Thin Crust</td>
<td>Mushroom</td>
<td>Small</td>
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<td>Large</td>
<td>Take Away</td>
</tr>
</tbody>
</table>

The results in Table III faithfully forbid the given constraints. Hence, the two way interactions (Pizza Type (Meat Lover) \( \Leftrightarrow \) Topping (Roasted Chicken)) featuring Vegetarian Cheese will only cover Mushroom whilst the two way interactions featuring Meat Lover can take both Roasted Chicken as well as Ground Beef.

IV. RELATED WORK

In general, existing t-way strategies can be categorized into two categories based on the dominant approaches, that is, algebraic approaches or computational approaches respectively [18].

Algebraic approaches construct test sets using pre-defined rules or mathematical function [18]. Often, the computations involved in algebraic approaches are typically lightweight, and in some cases, algebraic approaches can produce the most optimal test sets. However, the applicability of algebraic approaches is often restricted to small configurations [18, 19].

Orthogonal Arrays (OA) [20, 21], MOA [1] and TConfig [22]
are typical example of the strategies that are based on algebraic approach.

Unlike algebraic approaches, computational approaches often rely on the generation of the all pair combinations. Based on all pair combinations, the computational approaches iteratively search the combinations space to generate the required test case until all pairs have been covered. In this manner, computational approaches can ideally be applicable even in large system configurations. However, in the case where the number of pairs to be considered is significantly large, adopting computational approaches can be expensive due to the need to consider explicit enumeration from all the combination space. Example strategies that adopt this approach includes An Automatic Efficient Test Generator (AETG) [23, 24], its variant (mAETG) [25], PICT [8, 36], IPOG [18], Jenny [26], TVG [27, 28], IRPS [29], GA [30], ACA [30], and SA [31].

Although useful, much of the aforementioned strategies do not provide the support for constraints. Hence, in line with the scope of the paper, what follows is the review of strategies that address the problem of constraints.

Automatic Efficient Test Generator (or AETG) [23, 24] and employ a greedy search algorithm based on 2-way interaction pairing in order to generate the final test suite. In this manner, the generated test case is highly non-deterministic. A number of variations of AETG have been developed over the years, including AETGm [30] and mAETG SAT [34]. Unlike AETG and AETGm, mAETG SAT provides the support for constraints through its forbidden tuple implementation.

PICT [8, 36] generates all specified interaction tuples and randomly selects their corresponding interaction combinations to form the test cases as part of the complete test suite. In case a particular test case matches a specified constraint, PICT randomly generates a new combination for covering the interaction tuples. Due to its random behaviour, PICT tends to give a non-optimal test size as compared to other strategies.

TestCover [36] is a commercial t-way strategy implementation. No implementation details have been published in the literature apart from a list of benchmark configurations on constraints that can be obtained from its website.

SA [11, 13] relies on a large random search space for generating a t-way test suite. Using probability-based transformation equations, SA adopts binary search algorithm to find the best test case per iteration to be added to the final test suite. SA addresses constraints support through its variant strategy, called SA SAT [34].

HSS [14] is perhaps the most recent t-way strategy that addresses the constraints problem for the t-way test suite generation. Based on the Harmony Search Algorithm, HSS adopts two probability values (i.e. the considering rate and pitch adjustment rate). Here, global search is iteratively performed by randomizing values in the Harmony memory whereby the local best value can be selected given a considering rate probability. Here, local best value can be considered for improvements for further improvements in the local search (i.e. with pitch adjustment probability). At each iteration, the best value will be added to the final test suite (provided that they do not cover constraints) until all the required interactions are covered.

V. LATE ACCEPTANCE HILL CLIMBING ALGORITHM

Late Acceptance Hill Climbing Algorithm is started from a randomly generated potential solutions captured in to the LAHC memory (in the form of list with fixed length). LAHC then generates a current neighbour to be compared one-value-at-a-time with the corresponding value from the LAHC memory. LAHC also maintains the previous cost function in the memory to allow selection of the best fit value. Ideally, the candidate cost is compared with the selected in cost from the memory. If the cost is not worse, the candidate will be accepted (as the current local best). Upon acceptance, the cost of the new current solution will be made to replace the original in cost from the memory. Here, the list keeps the fitness array F of length Lfa (F i = {i0, f1,f2…fi+1}). The position vi at the i0 iteration can be calculated via:

\[ v = i \mod L_{fa} \]  

where mod represents the remainder of the integer division

Assuming minimization problem, the final acceptance condition at i0 iteration can be expressed as:

\[ C_i \leq C_{i-L_{fa}} \text{ or } C_i \leq C_{i-1} \]  

where \( C_i \) = the candidate cost; \( C_{i-1} \) = the current cost; \( C_{i-L_{fa}} \) = the cost of the current Lfa iteration before

The complete pseudo code for LAHC can be summarised in Fig. 1.

```plaintext
Produce an initial solution s
Calculate initial cost function C(s)
Specify Lfa
for all k ∈ {0…Lfa-1} do
    begin
        s=random(s)
        f_k := C(s)
    end
Assign the initial iteration I := 0;
While not a chosen stopping condition is met do
    Construct a candidate solution s*
    Evaluate its cost function C(s*)
    v := I mod Lfa
    if C(s*) ≤ f_v or C(s*) ≤ C(s) then
        Insert cost value into the list
        Increment the iteration I := I+1
end while
```

Fig. 1 General Purpose LAHC Algorithm

VI. ADAPTING LATE ACCEPTANCE HILL CLIMBING ALGORITHM FOR T-WAY TEST GENERATION
The optimization problem of concerned can be specified using on Equation 3 and 4.

Maximize \( f(x) = \sum_{i=1}^{N} x_i \) \hspace{1cm} (3)

Subject to \( x \in x_i , i = 1, 2, ..., N \hspace{1cm} (4) \)

where \( f(x) \) is an objective function capturing the weight of the test case in terms of the number of covered interactions; \( x \) is the set of each decision variable \( x_i \); \( x_i \) is the set of possible range of values for each decision variable, that is, \( x_i = \{x_i(1), x_i(2), ..., x_i(K)\} \) for discrete decision variables \( (x_i(1) < x_i(2) < ... < x_i(K)) \); \( N \) is the number of decision parameters; and \( K \) is the number of possible values for the discrete variables.

Addressing the aforementioned optimization problem, our LAHC strategy works as follows.

A. Parameter Initialization

Firstly, the LAHC accepts the input parameters and their corresponding values. Then, the LAHC generates the interactions list \( IL \) containing all interactions tuple combinations for each pair which later forms the objective function. Apart from accepting input parameters and their values, LAHC also needs to initialize the size and values of \( L_{ia} \) as well as the number of iteration, \( M \).

Owing to the need to generate a population of interaction test cases as opposed to single optimization problem, there is a need to modify the structure of \( L_{ia} \) as well as to add the number of iteration into the original LAHC. In this case, it is proposed that \( L_{ia} \) keeps both the cost function value as well as its corresponding candidate solution. Here, when LAHC decides to accept the solution in \( L_{ia} \) it can immediately use that solution as the basis for the next neighbourhood solution (i.e. for local search).

As the name suggests, \( M \) specifies the number of iteration for improving \( L_{ia} \). Here, the value of \( M \) must be greater than or equal to the size of \( L_{ia} (M \geq L_{ia} \text{ size}) \), that is, to ensure that all the values in \( L_{ia} \) are visited at least once (see equation 1).

B. Diversification and Intensification

To achieve optimal solution, there is a need for sufficiently elaborate local and global search via exploiting the diversification and intensification property of the algorithm of interest.

Within the general purpose LAHC algorithm, diversification for global search is appropriately addressed by the generation of random initial solution within the \( L_{ia} \) list. However, the intensification element within the local search is missing.

Addressing this intensification issue, there is a need for a good perturbation function which can “slightly” modify the current local best solution to get better solution (see Figure 2). For instance, consider a solution candidate, \( S(x_{\text{new}}) \):

If \( x_i \) range values is \( \{0, 1, 2, 3, 4, 5\} \), and the new \( x_{i_{\text{new}}} \) in the \( L_{ia} \) has the value of \( \{3\} \) then this value can be moved to the neighbouring value \( \{4\} \). To ensure that only slight modification is done, we introduce two probability value called \( P_{\text{change}} \) and \( P_{\text{direction}} \) respectively. Here if both \( P_{\text{change}} = 0.5 \) and \( P_{\text{direction}} = 0.5 \), there is only 50% chance of \( x_{i_{\text{new}}} \) be changed or remained. Now, if \( x_{i_{\text{new}}} \) is going to be changed, it can have equal chance of changing either in the lower, upper, or combination of both directions.

At first sight, the approach of adopting two probabilistic values in LAHC appears similar to HSS. A closer look reveals some fundamental differences. Firstly, in HSS, the two probabilistic values are used to decide whether or not to use a random value or a value from memory for improvisation as well as whether or not to do pitch adjustment of the current values. Within LAHC, \( P_{\text{change}} \) is used to decide whether to perturbate the current values much like the pitch adjustment in HSS, however, the use of \( P_{\text{direction}} \) is completely different. In LAHC, \( P_{\text{direction}} \) is used to decide on the direction of the neighbourhood search and not on the use of a random value or any existing value from memory.
The first case involves changing CCA(2,3) to CCA(2,4), F={(F_{1,3}, F_{2,4}, F_{3,5})}. The NO LAHC HSS SA_SAT mATEG_SAT PICT TestCover

<table>
<thead>
<tr>
<th>NO</th>
<th>LAHC</th>
<th>HSS</th>
<th>SA_SAT</th>
<th>mATEG_SAT</th>
<th>PICT</th>
<th>TestCover</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>CCA(N, 2,3,F)</td>
<td>10</td>
<td>10</td>
<td>10</td>
<td>10</td>
<td>9</td>
</tr>
<tr>
<td>2</td>
<td>CCA(N, 2,4,F)</td>
<td>16</td>
<td>16</td>
<td>16</td>
<td>16</td>
<td>16</td>
</tr>
<tr>
<td>3</td>
<td>CCA(N, 2,3,F)</td>
<td>25</td>
<td>25</td>
<td>25</td>
<td>25</td>
<td>25</td>
</tr>
<tr>
<td>4</td>
<td>CCA(N, 2,6,F)</td>
<td>38</td>
<td>36</td>
<td>36</td>
<td>36</td>
<td>36</td>
</tr>
<tr>
<td>5</td>
<td>CCA(N, 2,7,F)</td>
<td>51</td>
<td>49</td>
<td>49</td>
<td>52</td>
<td>55</td>
</tr>
<tr>
<td>6</td>
<td>CCA(N, 3,5,F)</td>
<td>145</td>
<td>138</td>
<td>127</td>
<td>143</td>
<td>151</td>
</tr>
<tr>
<td>7</td>
<td>CCA(N, 3,6,F)</td>
<td>253</td>
<td>240</td>
<td>222</td>
<td>247</td>
<td>260</td>
</tr>
<tr>
<td>8</td>
<td>CCA(N, 3,7,F)</td>
<td>409</td>
<td>377</td>
<td>351</td>
<td>395</td>
<td>413</td>
</tr>
<tr>
<td>9</td>
<td>CCA(N, 4,3,F)</td>
<td>94</td>
<td>89</td>
<td>NS</td>
<td>NS</td>
<td>NS</td>
</tr>
</tbody>
</table>

Here, the internal M iteration loop will incrementally update L_{\text{la}} with the local best value. Here, an index of the worst solution in L_{\text{la}} is kept internally to facilitate the update of L_{\text{la}}. Upon completion of the M iteration, the local best solution (with the best Cs) will be taken into the final test suite. Here, LAHC maintains the list of constraints as a forbidden list in order to make sure that the local best solution does not contain the constraints tuple. If so, new test value will be generated accordingly. The main iteration loop will stop when all the interactions are covered.

### VII. Evaluation Experiments

In this section, we benchmark LAHC with other existing strategies that support constraints using the experiments described by Cohen [34]. For our experiments, we have used L_{\text{la}} = 100, \text{P}_{\text{change}} = 0.2, \text{P}_{\text{direction}} = 0.5, and M iteration = 1000 for all the experiments. Here, we report the best results after 20 runs for statistical significance. Table IV summarizes the results. Here, the best generated results are highlighted in bold font. Entries marked with Not Supported (NS) indicates that the configurations that are not supported by the given strategy implementation.

Summing up, LAHC appears to perform well on all the given configurations. In fact, LAHC gives the most optimal test cases for two cases. The first case involves the configuration with CCA(N, 2, 3, F) where F = \{(F_{1,2,3}, F_{1,2,4}, F_{1,3,5}), (F_{1,2,4}, F_{1,3,5}), (F_{1,3,5}), (F_{1,2,3}, F_{1,2,4})\}. The second case involves the configuration with CCA(N, 4, 3, F) where F = \{(F_{1,2,3}, F_{1,2,4}, F_{1,3,5}), (F_{1,2,3}, F_{1,2,4}, F_{1,3,5})\}. For the rest of the configuration, HSS appears to have the best overall results. SA_SAT, mATEG_SAT, and Test Cover also give optimal values for the first two configuration involving CCA(N, 2, 3, F) where F = \{(F_{1,2,3}, F_{1,2,4}), (F_{1,3,5}), (F_{1,2,3}, F_{1,2,4})\} and CCA(N, 2, 4, F) where F = \{(F_{1,2,3}, F_{1,2,4}, F_{1,3,5})\}.

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**C. Control Loop with Interaction Coverage iteration, M iteration and L_{\text{la}} Memory Update**

Using the general Late Acceptance Hill Climbing algorithm with the aforementioned perturbation function, the complete LAHC strategy can be summarised in Fig. 3.

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**Fig. 3 LAHC Strategy**
(F₁₄,F₂₄,F₃₁)(F₁₃,F₂₃)). PICT appears to perform poorly on all the given configurations.

VIII. CONCLUSIONS

In short, this paper has elaborated a new strategy, called LAHC, based on Late Acceptance Hill Climbing Algorithm. Our experience with LAHC has been promising. As the scope for future work, we are looking to tune LAHC to get better results. We are also looking to address adopt LAHC for software product line testing.

ACKNOWLEDGMENT

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